

Axioma Reporting Solutions

ADDRESSING TOMORROW'S REGULATORY REPORTING CHALLENGES

Asset Managers face intensifying reporting demands from regulators across the globe who are requiring more in depth analytics and greater transparency. Axioma Reporting Solutions provides clients a manageable way to address these data challenges. The cloud-based solution delivers efficient cross-purposing of data together with flexibility to respond to new and changing requirements.

Axioma Reporting Solutions enables managers to aggregate, normalize, validate and store all necessary information for filing using integrated work flows. We assist our clients with every filing to facilitate a smooth and on-time reporting process. Our solution provides reporting output in a variety of formats as well as direct links to regulatory agencies. Robust audit, internal control functionality and exceptions reporting provide a complete reconciliation and review of the submission content. The solution is kept up-to-date by the same experienced team of regulatory practitioners who also assist clients throughout their filing cycles.

SUPPORTING GLOBAL REGULATION

Ever-expanding and sometimes contradictory demands on asset managers from global regulatory bodies, including the SEC, CFTC, FCA and ESMA, mean that asset managers need a solution with integrated data gathering, analytics, output and linkage to regulatory agencies.

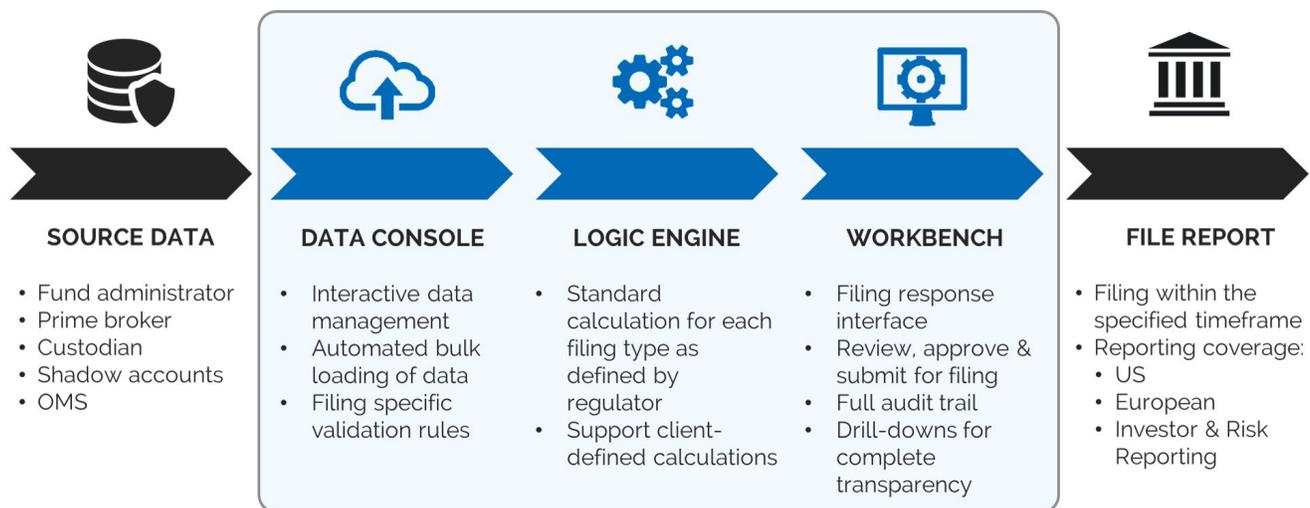
This on-demand solution provides complete transparency into the reporting process:

- A secure and centralized data repository
- Integrated, proprietary calculation engine
- Dynamic workbench to track report preparation processes
- Multi-level approval workflow
- Immediate interactive source data validation
- Creation and validation of filings for multiple client entities across multiple regulations.

SUPPORTED REPORTS:

US REPORTS
EUROPEAN REPORTS
PORTFOLIO RISK REPORTS
INVESTOR REPORTS

Our holistic approach to reporting



COMPREHENSIVE & COMPLIANT REPORTING

Axioma Reporting Solutions addresses the myriad of challenges faced by asset managers as a result of the evolving regulatory reporting landscape.

INTEGRATED SYSTEMS & WORKFLOW

With fully integrated systems and workflow, Axioma Reporting Solutions helps mitigate the resource-heavy and costly process of preparation and filing. Our integrated technology reviews and validates raw data while a central calculation engine derives, aggregates and populates an easy-to-use, interactive filing interface.

EFFICIENT DATA MANAGEMENT

A centralized data and calculation hub re-uses source data to populate reports, ensuring flexibility and alignment across all client reporting obligations. Changes to reporting content or interpretation are handled at the calculation level, minimizing the need for repeated input and risk of human error.

MONITORING & OVERSIGHT

Subject matter experts with the requisite expertise interpret constantly-changing global regulatory reporting requirements.

Why Axioma?

Through a combination of people, process and technology, Axioma Reporting Solutions provides one of the most complete offerings on the market.

A COMPLETE SOLUTION

- Axioma Reporting Solutions technology platform integrates the Axioma Risk Engine to bring to market the only 'single software package' that can produce a complete regulatory filing.
- Leveraging Axioma Risk allows for use of the same underlying data to produce the risk measurements required by the various regulatory reports and the bulk of the report via Axioma Reporting Solutions.

A FULLY MANAGED SERVICE

- Axioma Reporting Services managed service goes above and beyond, setting up a workflow that takes data from client-identified sources to generate fully-populated reports, ready for client review and sign-off.
- Clients are able to outsource more and focus on what matters most.

OUR PROCESS

- Honed since the first Form PF filings in 2012, the Axioma Reporting Solutions reporting process has resulted in period over period filings for thousands of funds without error.
- Engraining this process into client workflow ensures smooth filings and eliminates last minute emergencies.

Axioma, Inc. is the leading provider of innovative risk-management and portfolio-construction solutions to financial institutions worldwide. With an emphasis on innovation, outstanding service and open-platform technology, Axioma gives its clients more choice, greater flexibility and increased performance. Founded in 1998, Axioma is headquartered in New York, with offices in Atlanta, Frankfurt, Geneva, Hong Kong, London, Melbourne, Paris, San Francisco, Singapore, Sydney and Tokyo.

Contact us to learn more about how Axioma can help you better manage risk and enhance your investment process.

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